

DTD5 Rec'd PCT/PTO 1 3 DEC 2007 Docket No.: PF-0620 USN

#### Certificate of Mailing

I hereby certify that this correspondence is being deposited with the United States Postal Service as first class mail in an envelope addressed to: Box Sequence, Commissioner for Patents, P.O. Box 2327, Arlington, VA 22202 on <u>December 9, 2002</u>.

Printed: Margaret M. Hasson

## IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re Application of:

Tang et al.

Title:

LYSINE-RICH STATHERIN PROTEIN

Serial No.:

09/830,244

Filing Date:

To Be Assigned

Examiner:

To Be Assigned

Group Art Unit:

To Be Assigned

#### **Box Sequence**

Commissioner for Patents, PO Box 2327 Arlington, VA 22202

#### RESPONSE TO NOTIFICATION OF DEFECTIVE RESPONSE

Sir:

In response to the "Notification of Defective Response" mailed by the United States Patent and Trademark Office on November 15, 2002 (copy attached), Applicants once again submit the document(s) listed below to complete the filing for the above-identified patent application.

- 1. Substitute Submission Under 37 CFR 1.821-1.825 Sequence Listing (1 pg.);
- 2. One (1) Substitute CRF diskette containing the Sequence Listing; and
- 3. One (1) paper copy containing the Sequence Listing.

#### Applicants would like to note the following:

In response to the "Notification of Defective Response" mailed by the United States Patent and Trademark Office on September 4, 2002, Applicants submitted the document(s) listed below to complete the filing for the above-identified patent application.

- 1. Substitute Submission Under 37 CFR 1.821-1.825 Sequence Listing (1 pg.);
- 2. One (1) Substitute CRF diskette containing the Sequence Listing; and
- 3. One (1) paper copy containing the Sequence Listing.

The current Notification of Defective Response purports to relate to that filing. However, it appears that the sequence listing submission that was rejected by the U.S. Patent and Trademark Office was not Applicants' submission of September 4, 2002. The "Raw Sequence Listing Patent Application:

Docket No.: PF-0620 USN

US/02/930,244A" report that was attached to the current Notice of Defective Response dated November 15, 2002 (see attached), was generated on **August 26, 2002**. Therefore, Applicants actual submission of September 4, 2002 was not considered prior to the Patent and Trademark Office issuing the current Notification of Defective Response which is dated November 15, 2002, and which is therefore itself an error.

The Applicants believe that no fee is due with this communication. However, if the Commissioner determines that additional fees are due or that an excess fee has been paid, the Patent Office is authorized to debit or credit (respectively) Deposit Account No. <u>09-0108</u>.

Respectfully submitted,

INCYTE GENOMICS, INC.

Date: 6 Dec 20

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#### UNITED STATES PATENT AND TRADEMARK OFFICE

Contrassioner for Patents, Box PC1 United States Patent and Trademark Office Washington, D.C. 2023)

U.S. APPLICATION NUMBER NO. FIRST NAMED APPLICANT ATTY. DOCKET NO.

09/830,244 Chandra Patterson PF-0620 USN

INTERNATIONAL APPLICATION NO.

PCT/US99/24046

INCYTE GENOMICS

3160 Porter Drive

10/22/1999 10/23/1998

3160 Porter Drive Palo Alto, CA 94304

CONFIRMATION NO. 3310
371 FORMALITIES LETTER

\*OC00000009100997\*

Date Mailed: 11/15/2002

# NOTIFICATION OF DEFECTIVE RESPONSE

The following items have been submitted by the applicant or the IB to the United States Patent and Trademark Office as an Elected Office (37 CFR 1.495):

- U.S. Basic National Fee
- Priority Document
- Biochemical Sequence Diskette
- Biochemical Sequence Listing
- Copy of IPE Report
- · Copy of references cited in ISR
- Copy of the International Application
- Copy of the International Search Report
- Oath or Declaration

Applicant's response filed 06/11/2002 is hereby acknowledged. The following requirements set forth in the NOTIFICATION of MISSING REQUIREMENTS mailed 04/29/2002 have not been completed.

The following items MUST be furnished within the period set forth below in order to complete the requirements for acceptance under 35 U.S.C. 371:

Applicant is required to complete the response within a time limit of ONE MONTH from the date of this Notification or within the time remaining in the response set forth in the Notification of Missing Requirements, whichever is the longer. No extension of this time limit may be granted under 37 CFR 1.136, but the period for response set in the Notification of Missing Requirements may be extended under 37 CFR 1.136(a).

The following items MUST be furnished within the period set forth below:

- The nucleotide and/or amino acid sequence disclosure contained in this application does not comply with the requirements for such a disclosure as set forth in 37 CFR 1.821-1.825 for the following reason(s):
  - The computer readable form that has been filed with this application has been found to be damaged and/or unreadable as indicated on the attached CRF Diskette Problem Report. A substitute computer readable

- form must be submitted as required by 37 CFR 1.825(d).
- APPLICANT MUST PROVIDE:
  - An initial or substitute computer readable form (CRF) of the "Sequence Listing."
- For questions regarding compliance to 37 CFR 1.821-1.825 requirements, please contact:
  - For Rules Interpretation, call (703) 308-4216
  - To Purchase Patentin Software, call (703) 306-2600
  - For Patentln Software Program Help, call (703) 306-4119 or e-mail at patin21help@uspto.gov or patin3help@uspto.gov
  - The computer readable form that has been filed with this application has been found to be damaged and/or unreadable as indicated on the attached CRF Diskette Problem Report. A substitute computer readable form must be submitted as required by 37 CFR 1.825(d).

Applicant is reminded that any communications to the United States Patent and Trademark Office must be mailed to the address given in the heading and include the U.S. application no. shown above (37 CFR 1.5)

A copy of this notice MUST be returned with the response.

CHARITTA A BURT

Telephone: (703) 305-3734

### PART 1 - ATTORNEY/APPLICANT COPY

U.S. APPLICATION NUMBER NO.	INTERNATIONAL APPLICATION NO.	ATTY. DOCKET NO.
09/830,244	PCT/US99/24046	PF-0620 USN

FORM PCT/DO/EO/916 (371 Formalities Notice)